Nonce-Based MACs

# Beyond-Birthday-Bound Secure MACs

### Yannick Seurin

ANSSI, France

### January 2018, Dagstuhl Seminar

Nonce-Based MACs

## Introduction

#### we survey recent results on MAC constructions which are

- based on a block cipher (BC) or a tweakable block cipher (TBC)
   secure beyond the birthday bound (BBB-secure)
- most (T)BC-based MACs are secure only up to the birthday-bound w.r.t. to the block size n: they become insecure when ~ 2<sup>n/2</sup> (blocks of) messages have been treated
- BBB-security is important for lightweight crypto (small blocks, inconvenient re-keying,...)
- we highlight some open problems along the way

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Nonce-Based MACs



#### Generalities

#### Stateless Deterministic MACs

The UHF-then-PRF Paradigm Constructing BBB-Secure Output Functions from (T)BCs Constructing BBB-Secure UHFs from (T)BCs

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State of Art Open Problems

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# MAC Definition



### Security Definition

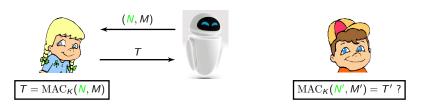
The adversary is allowed

- q MAC queries  $T = MAC_{\kappa}(N, M)$
- v verification queries (forgery attempts) (N', M', T')

and is successful if one of the verification queries (N', M', T') passes and no previous MAC query (N', M') returned T'.

Nonce-Based MACs

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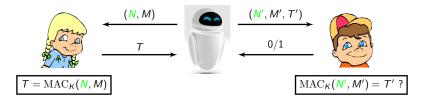
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# Three types of MAC

 stateless and deterministic: MAC function only takes the key and the message as input (Variable-input-length PRF ⇒ stateless deterministic MAC)

• nonce-based:

- MAC function takes as input a non-repeating nonce  ${\cal N}$  in addition to the key and the message  ${\cal M}$
- sec. model: the nonce is chosen by the adversary
- the adversary is said nonce-respecting if it does not repeat nonces in MAC queries and nonce-misusing otherwise
- randomized: MAC function takes as input random coins *R* (generated by the sender) in addition to the key and the message

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# Graceful Nonce-Misuse Security Degradation

- the security of some nonce-based MACs collapses if a single nonce is repeated (e.g. GMAC)
- ideally, security should degrade gracefully in case nonces are repeated
- any BBB-secure nonce-based MAC with graceful security degradation can be turned into a BBB-secure randomized MAC by choosing *n*-bit nonces uniformly at random:

$$\mathsf{Adv}_{F}^{\mathrm{rand-MAC}}(q,v) \leq \underbrace{\frac{q^{\mu+1}}{\underbrace{2^{\mu(n+1)}}}_{\mu-\mathrm{multicoll.}}}_{proba.} + \mathsf{Adv}_{F}^{\mathrm{nonce-MAC}}(q,v,\mu)$$

where  $\mu$  is the maximal number of nonce repetitions.

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Nonce-Based MACs

### Building Blocks: BCs and TBCs



n = block size t = tweak size

• block cipher E: for each key  $K, X \mapsto E(K, X)$  is a permutation

- tweakable block cipher E: for each key K and each tweak W,  $X \mapsto \widetilde{E}(K, W, X)$  is a permutation
- one can think of a keyed TBC *E<sub>K</sub>* as an "imperfect" (n + t)-to-n-bit PRF
- if any tweak W is used at most "a few" times, E<sub>K</sub> is close to a random (n + t)-to-n-bit function

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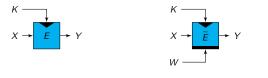
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### The UHF-then-PRF Paradigm Constructing BBB-Secure Output Functions from (T)BCs Constructing BBB-Secure UHFs from (T)BCs

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State of Art Open Problems



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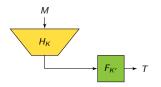
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### The UHF-then-PRF Construction



 based on a fixed-input-length PRF F and an ε-almost universal (ε-AU) hash function H:

 $\forall M \neq M', \ \Pr[K \leftarrow_{\$} \mathcal{K} : H_K(M) = H_K(M')] \leq \varepsilon$ 

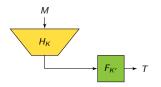
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- most MACs are (variants of) this construction (UMAC, EMAC, OMAC, CMAC, PMAC, NMAC)

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BBB Secure MACs

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### The UHF-then-PRF Construction



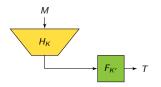
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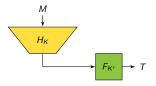
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## Security of UHF-then-PRF



• birthday-bound-secure w.r.t. H collision probability  $\varepsilon$ 

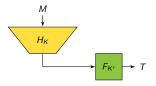
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  - $H \leftarrow \mathsf{CBC}[E]$  or  $\mathsf{PMAC}[E]$  ( $\varepsilon \simeq 2^{-n}$ )
  - $F \leftarrow E$
  - $\Rightarrow$  BB-security

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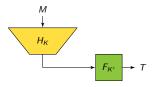
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### BBB-Security of UHF-then-PRF



- for BBB-security, we need a 2*n*-bit output UHF with  $\varepsilon \simeq 2^{-2n}$ and a BBB-secure 2*n*-to-*n*-bit PRF
- constructing a BBB-secure 2*n*-to-*n*-bit PRF from an *n*-bit block cipher seems inconvenient (e.g. XOR2 construction [Luc00, Pat08, DHT17] + 5-round Feistel [Pat04])
- however, PRF-security seems like an overkill (the adversary does not control *F* inputs)

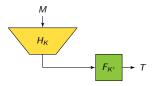
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**BBB Secure MACs** 

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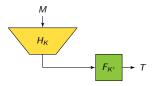
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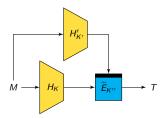
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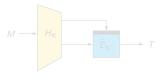
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State of Art Open Problems

Nonce-Based MACs

### TBC-Based Constructions [CLS17, LN17]





Hash as Tweak (HaT) [CLS17]

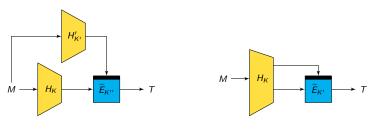
Hash-then-TBC [LN17]

HaT construction BBB-secure assuming H and H' are ε-AU secure

• Hash-then-TBC construction BBB-secure under more complex UHF-type properties of *H* 

Nonce-Based MACs

### TBC-Based Constructions [CLS17, LN17]



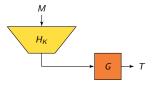
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Nonce-Based MACs

## The UHF-then-RO Construction [CLS17]

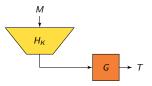


- the output function need not be keyed
- modeling G as a RO, the construction is secure if H is  $\varepsilon$ -AU and  $\varepsilon'$ -uniform:

$$\forall M, \ \forall Y, \ \Pr[K \leftarrow_{\$} \mathcal{K} : H_K(M) = Y] \leq \varepsilon'$$

• security proof under a standard assumption on G?

## The UHF-then-RO Construction [CLS17]



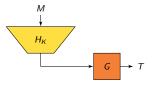
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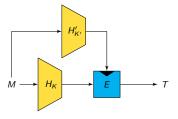
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# BBB-Secure Instantiation from an Ideal BC [CLS17]



Hash as Key (HaK)

• the HaK construction is BBB-secure in the ideal cipher model assuming H and H' are  $\varepsilon$ -AU and  $\varepsilon'$ -uniform

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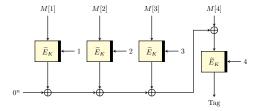
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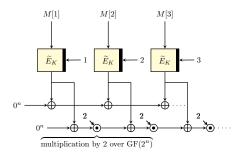
# PMAC/PMAC1 [BR02, Rog04]



- most existing constructions are variants of PMAC [BR02] (BC-based) and PMAC1 [Rog04] (TBC-based)
- the underlying hash function (omitting final  $\widetilde{E}$  call) is  $\varepsilon\text{-AU}$  for  $\varepsilon\simeq 2^{-n}$

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# PMAC\_TBC [Nai15]



• PMAC\_TBC = TBC-based variant of PMAC\_Plus [Yas11]

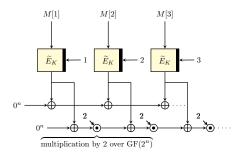
combined with an output function weaker than a 2n-bit PRF

- achieves n-bit security
- but each TBC call processes only *n* bits of message

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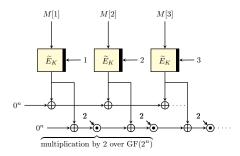


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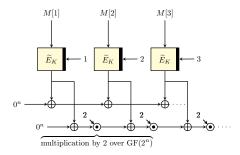


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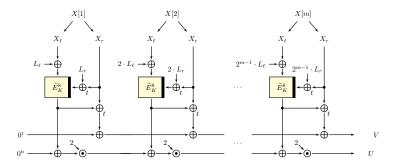


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## ZHASH [IMPS17]

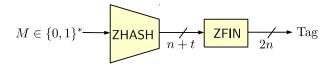


• each TBC call processes (n + t) bits of message

- uses a variant of the XTX construction [MI15] to extend the tweak space and incorporate the block counter
- ZHASH is  $\varepsilon$ -AU for  $\varepsilon = 4/2^{n+\min\{n,t\}}$

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# ZMAC [IMPS17] and ZMAC+ [LN17]



- ZMAC [IMPS17] combines ZHASH and an (*n* + *t*)-to-*n*-bit PRF constructed from the TBC using the UHF-then-PRF paradigm
- ZMAC+ [LN17] improves the efficiency of the output function using the Hash-then-TBC construction

Nonce-Based MACs

# **Open Problems**

- alternative to UHF-then-PRF:
  - finalization function in PMAC\_Plus:  $(U, V) \mapsto E_{K_1}(U) \oplus E_{K_2}(V)$  $\Rightarrow$  not a PRF
  - find a generic composition theorem capturing the security proofs of PMAC\_Plus and PMAC\_TBC
- exact security of PMAC\_Plus?
- efficient BC-based constructions with *n*-bit security? (*F<sub>t</sub>* construction [IM16] and LightMAC\_Plus2 [Nai17] achieve *kn*/(*k* + 1)-bit security with a *kn* bit state)

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- efficient BC-based constructions with *n*-bit security? (*F<sub>t</sub>* construction [IM16] and LightMAC\_Plus2 [Nai17] achieve *kn*/(*k* + 1)-bit security with a *kn* bit state)

Nonce-Based MACs

# **Open Problems**

- alternative to UHF-then-PRF:
  - finalization function in PMAC\_Plus:  $(U, V) \mapsto E_{K_1}(U) \oplus E_{K_2}(V)$  $\Rightarrow$  not a PRF
  - find a generic composition theorem capturing the security proofs of PMAC\_Plus and PMAC\_TBC
- exact security of PMAC\_Plus?
- efficient BC-based constructions with *n*-bit security? (*F<sub>t</sub>* construction [IM16] and LightMAC\_Plus2 [Nai17] achieve *kn*/(*k* + 1)-bit security with a *kn* bit state)



#### Generalities

#### Stateless Deterministic MACs

The UHF-then-PRF Paradigm Constructing BBB-Secure Output Functions from (T)BCs Constructing BBB-Secure UHFs from (T)BCs

#### Nonce-Based MACs

State of Art Open Problems

Nonce-Based MACs



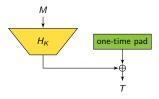
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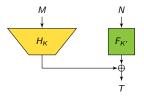
## The Wegman-Carter Construction [GMS74, WC81]



- based on an  $\varepsilon$ -almost xor-universal ( $\varepsilon$ -AXU) hash function H:  $\forall M \neq M', \forall Y, \Pr[K \leftarrow_{\$} \mathcal{K} : H_{\mathcal{K}}(M) \oplus H_{\mathcal{K}}(M') = Y] < \varepsilon$
- in practice, OTPs are replaced by a PRF applied to a nonce N
   H usually based on polynomial evaluation (CCM, Poly1305)
- "optimal" security:

$$\mathsf{Adv}_{\mathsf{WC}}^{\mathrm{MAC}}(q, v) \leq v\varepsilon + \mathsf{Adv}_{\mathsf{F}}^{\mathrm{PRF}}(q+v)$$

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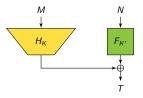
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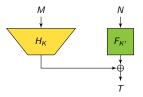
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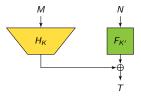
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Nonce-Based MACs

### Implementing the PRF from a Block Cipher



- in practice, F is replaced by a block cipher
   → Wegman-Carter-Shoup (WCS) construction
- provable security drops to birthday bound ☺ [Sho96]

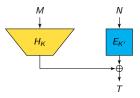
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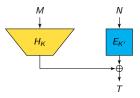
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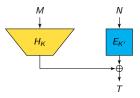
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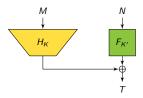
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### The Nonce-Misuse Problem



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- esp. for polynomial-based hashing, i.e.,  $H_K(M) = P_M(K)$ :

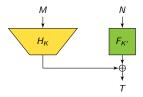
 $P_{M}(K) \oplus F_{K'}(N) = T$  $P_{M'}(K) \oplus F_{K'}(N) = T' \Rightarrow P_{M}(K) \oplus P_{M'}(K) = T \oplus T'$ 

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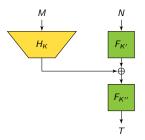
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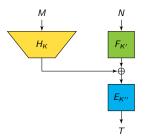
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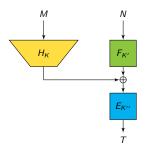
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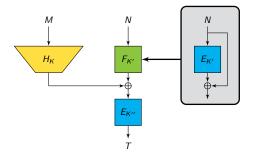
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- what if we instantiate  $F_{K'}$  with the Davies-Meyer construction  $DM[E]_{K'}(N) = E_{K'}(N) \oplus N$ ?
- the DM construction is not a BBB-secure PRF:  $DM[E]_{K'}(N) \oplus N = E_{K'}(N)$  is a permutation!
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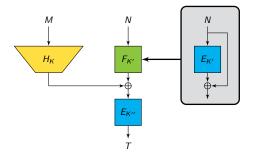
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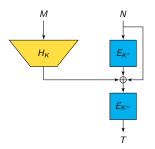
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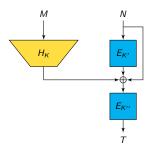
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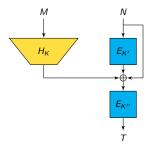
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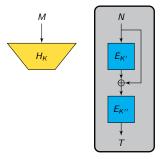
## The Encrypted Davies-Meyer PRP-to-PRF Construction



- we can't start the security proof by replacing DM[E<sub>K'</sub>] by a random function
   (⇒ birthday-bound)
- we need to analyze the PRF-security of

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# Security Results for EDM and EWCDM

#### EDM is a secure PRF up to:

- 2<sup>2n/3</sup> queries (H-coefficients) [CS16]
- 2<sup>3n/4</sup> queries (Chi-squared method) [DHT17]
- 2<sup>n</sup>/n queries (Mirror Theory) [MN17]

#### EWCDM is a secure MAC up to

- 2<sup>2n/3</sup> MAC and 2<sup>n</sup> verif. queries (H-coefficients) [CS16]
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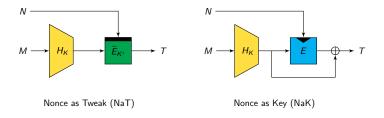
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Nonce-Based MACs

#### TBC and IC-Based Finalization [CLS17]



- both constructions enjoy graceful security degradation with maximal nonce multiplicity  $\mu$ 

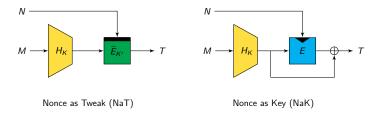
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 NaK construction provably secure in the ideal cipher model, assuming H is ε-AXU and uniform (Davies-Meyer mode required to make the output function non-invertible!)

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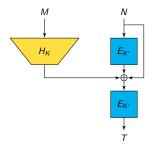
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#### Optimizing and Instantiating EWCDM

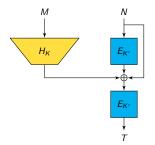


- can we use the same key for the two BC calls?
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- can we instantiate H<sub>K</sub> with e.g. CBC[E<sub>K</sub>] or PMAC[E<sub>K</sub>]? (same key for hashing and finalization)

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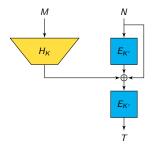


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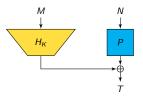
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#### Back to the Wegman-Carter-Shoup Construction



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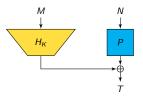
- if N' is fresh, forgery valid with proba. at most  $1/(2^n q)$
- if N' appeared in a MAC queries  $(N', M) \rightarrow T$ , forgery valid if

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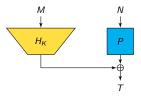
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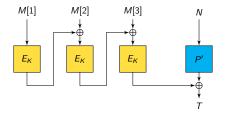
• security bound (one forgery attempt):

$$\mathsf{Adv}^{\mathrm{MAC}}_{\mathsf{WC}}(q,1) \leq varepsilon + rac{(q+1)^2}{2\cdot 2^n}$$

- matching attack when  $H_K(M) = K \cdot M$ :
  - make  $q \sim 2^{n/2}$  MAC queries  $(N_i, M_i) \rightarrow T_i$
  - for each pair (i, j),  $K \cdot (M_i \oplus M_j) \neq T_i \oplus T_j$
  - $\Rightarrow$  discard  $\sim 2^n$  bad keys
- security bound is tight (number of queries)

Nonce-Based MACs

#### WCS with a Computational BC-based UHF

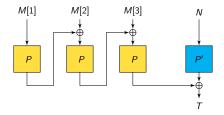


#### • instantiate $H_K$ with e.g. $CBC[E_K]$

- replace *E<sub>K</sub>* by a random permutation *P* (PRP term)
   ⇒ previous information-theoretic attack does not work anymore
- very similar to CCM authentication
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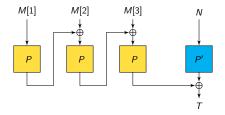


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#### The end...

# Thanks for your attention!

# Comments or questions?

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